Under the Paperwork Reduction Act of 1994, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b)

Attomey Docket No.: 2003_1425A

First Named Inventor: Shinichi SONETAKA et al.

Title: BIOPOLAR TRANSISTOR

Express Mail Label No.:

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

 [X] Fee Transmittal Form 7. [] CD-ROM or CD-R in duplicate, large table or computer (Submit an original, and a duplicate for fee processing) program (Appendix)

[Total Pages: 16]

P.O. Box 1450

8. [] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. (1 Computer Readable Form

Commissioner for Patents

Alexandria, VA 22313-1450

- b. Specification Sequence Listing on: i. [] CD-ROM or CD-R (2 copies); or
 - ii. N Paper
- c. [] The paper and computer readable copies are identical

2. [] Small Entity Status is hereby asserted.

3. [X] Specification

(preferred arrangement set forth below)

- Descriptive title of the Invention

- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- **Detailed Description**
- Claim(s)
- Abstract of the Disclosure

4. [X] Drawing(s) (35 USC 113)

[Total Sheets: 8]

[Total Pages: 3]

5. [X] Oath or Declaration

a.1. [X] Newly executed (original or copy)

- a.2. [] Unexecuted
- [] Copy from a prior application(37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)

i. [] DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. [] Application Data Sheet (see 37 CFR 1.76)

ACCOMPANYING APPLICATION PARTS

- 9. [X] Assignment Papers (cover sheet & document(s))
- [] 37 CFR 3.73(b) Statement (when there is an assignee)

[] Power of Attorney

- 11. [] English Translation Document (if applicable)
- 12. [] Information Disclosure Statement (IDS)/PTO-1449

[] Copies of IDS Citations

- 13. [] Preliminary Amendment
- 14. [X] Return Receipt Postcard (MPEP 503) (Should be specifically itemized
- 15. [X] Certified Copy of Priority Document(s)
- (if foreign priority is claimed) 16. [] Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).

Applicant must attach form PTO/SB/35 or its equivalent.

17. [X] Other CLAIM OF PRIORITY UNDER 35 USC 119

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet:

[] Continuation

[] Divisional

[] Continuation-in-part (CIP)

of prior application No.

Prior Application Information Examiner

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

CUSTOMER NO.

Michael S. Huppert Registration No. 40,268

> WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone: (202) 721-8200 Fax:(202) 721-8250

> > October 9, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :

Shinichi SONETAKA et al.

Serial No. NEW : Attn: APPLICATION BRANCH

Filed October 9, 2003 : Attorney Docket No. 2003 1425A

BIOPOLAR TRANSISTOR

PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$810.00 to cover Patent Office fees relating to filing the following attached papers:

New application
Assignment for Recordal
Additional Claims Fee:
Excess of Twenty\$
Independent\$
Multiple Dependent Fee\$

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Shinichi SONETAKA et al.

Michael S. Huppert

Registration No. 40,268 Attorney for Applicants

MSH/kjf WENDEROTH, LIND & PONACK, L.L.P. 2033 K St., N.W., Suite 800 Washington, D.C. 20006-1021 Telephone (202) 721-8200 October 9, 2003

[Check No. <u>58145</u>

2003_1425A